

SERIAL NUMBER	FILING DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/418,184	10/12/99	705	2761	

APPLICANT

SEAN JOSEPH EGAN, HAVERFORD, PA.

**\*\*CONTINUING DOMESTIC DATA\*\*\*\*\***

VERIFIED

mmw

**\*\*371 (NAT'L STAGE) DATA\*\*\*\*\***

VERIFIED

mmw

**\*\*FOREIGN APPLICATIONS\*\*\*\*\***

VERIFIED

mmw

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/02/99 \*\* SMALL ENTITY \*\*

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY PA	SHEETS DRAWING 0	TOTAL CLAIMS 3	INDEPENDENT CLAIMS 3
Verified and Acknowledged <u>mmw</u> Examiner's Initials Initials					

ADDRESS	<del>SEAN J EGAN</del> <del>212 LAUREL LANE</del> <del>HAVERFORD PA 19041</del> Robert E. Rosenthal Duane Morris & Heckscher LLP One Liberty Place Philadelphia PA 19103-7896
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TITLE	SYSTEM AND METHOD FOR ASSIGNING RATINGS TO MUTUAL FUNDS AND OTHER INVESTMENT FUNDS BASED ON THE VALUE OF VARIOUS FUTURE AND OPTION SECURITIES
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FILING FEE RECEIVED	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other
\$380		